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Early Release Paper

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Haematologica 2009 [Epub ahead of print]

doi:10.3324/haematol.2009.005967

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Human acute myeloid leukemia CD34⁺CD38⁻ stem cells are susceptible to allorecognition and lysis by single KIR-expressing natural killer cells

Ulrich Langenkamp,¹ Uwe Siegler,^{1,2} Simon Jörger,¹ Stefan Diermayr,¹ Alois Gratwohl,² Christian P. Kalberer,¹ and Aleksandra Wodnar-Filipowicz¹

¹Experimental Hematology, Department of Biomedicine, University Hospital Basel, Basel, and ²Division of Hematology, University Hospital Basel, Basel, Switzerland

ABSTRACT

The concept of tumor immunosurveillance has raised prospects for natural killer (NK) cell-based immunotherapy of human cancer. The cure of acute myeloid leukemia (AML) may depend on eradication of leukemic stem cells (LSCs), the self-renewing component of leukemia. Whether NK cells can recognize and lyse LSCs is not known. To develop strategies that effectively target AML-LSCs, we investigated anti-leukemic effects of human alloreactive single KIR⁺ NK cells. NK effectors with KIR specificity mismatched with respect to HLA class I allotype of target cells effectively recognized AML-LSCs defined phenotypically as CD34⁺CD38⁻, while healthy bone marrow-derived CD34⁺CD38⁻ hematopoietic stem cells were spared, as demonstrated by cytotoxicity and hematopoietic colony-forming assays. The HDAC inhibitor valproic

acid augmented the activating NKG2D ligand-dependent lysis of AML-CD34⁺CD38⁻ LSCs. These results show that alloreactive NK cells have the potential to detect and target LSCs, and thus to improve the treatment outcome in AML.

Key words: ????

Citation: Langenkamp U, Siegler U, Jörger S, Diermayr S, Gratwohl A, Kalberer CP, and Wodnar-Filipowicz A. Human acute myeloid leukemia CD34⁺CD38⁻ stem cells are susceptible to allorecognition and lysis by single KIR-expressing natural killer cells. *Haematologica* 2009. doi: 10.3324/haematol.005967

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Introduction

Leukemia-initiating cells, also termed leukemic stem cells (LSCs), are implicated in sustaining the malignancy and thus a poor treatment outcome.¹ Relapse may occur because of the resistance of quiescent LSCs to cell cycle-dependent cytotoxic chemotherapies. Consequently, specific targeting of LSCs has emerged as a novel therapeutic goal.² Natural killer (NK) cells are the innate immunity lymphocytes designated to recognize and kill malignant cells.³ This property has been clinically verified in acute myeloid leukemia (AML) by graft-versus-leukemia effect improving the outcome of recipients of stem cells from haploidentical donors.^{4,5} The alloreactivity of NK cells is based on the absence of inhibitory killer immunoglobulin-like receptors (KIRs) engagement with human leukocyte antigen (HLA) class I molecules, and is triggered by cognate recognition of cell surface ligands by activating NK cell receptors.⁶ NKG2D ligands (NKG2D-L) serve as tumor-specific antigens initiating NKG2D receptor-dependent activation of NK cells.^{7,8} While numerous studies have characterized the cytolytic potential of human NK cells against leukemic blasts,⁹⁻¹² their ability to target LSCs has not been examined. Although the precise phenotypic identity

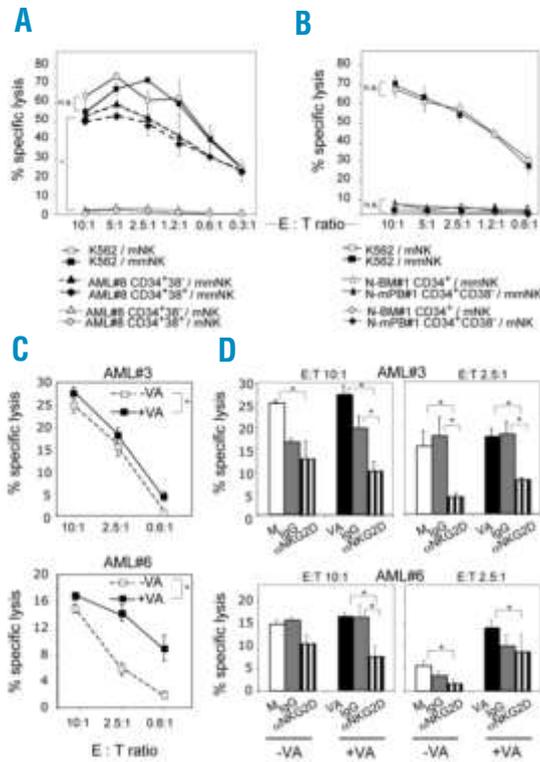
of human LSCs remains elusive, AML-initiating LSCs were shown to reside within the CD34⁺CD38⁻ population, the phenotype of which corresponds to a healthy bone marrow population containing the hematopoietic stem cells (HSCs).¹³⁻¹⁵ Here, we demonstrate that AML-CD34⁺CD38⁻ LSCs are efficiently recognized and destroyed by single KIR⁺ NK cells with predicted mismatch with respect to HLA class I specificity of the AML patient. This study provides arguments for exploiting immunotherapy with alloreactive NK cells to target LSCs.

Design and Methods

Patients and healthy controls

Peripheral blood (PB) from AML patients (n=8) and normal bone marrow (N-BM) or normal G-CSF mobilized PB (N-mPB) from healthy donors (n=8) were obtained with informed consent, in agreement with the guidelines of the Ethical Committee of University Hospital Basel. Patients' selection criteria were: primary untreated AML, high blast content (79%±16%) with predominantly CD34⁺ phenotype (76%±20%), and HLA class I allotype enabling a KIR mismatch (*Online Supplementary Table S1*).

This paper contains Supplementary Appendix. Acknowledgments: we thank Amgen for aULBP1,2,3 and aNKG2D mAbs, and V. Jäggin and E. Traunecker for cell sorting. Funding: this work was supported by grants from the Swiss National Science Foundation 3100-110511, Oncosuisse 01664-02-05 and 02175-02-2008, Freie Akademische Gesellschaft, and Stiftung für Hämatologische Forschung. Manuscript received on January 14, 2009. Revised version arrived on May 19, 2009. Manuscript accepted on June 3, 2009. Correspondence: Aleksandra Wodnar-Filipowicz, Department of Biomedicine, University Hospital Basel, Hebelstrasse 20, CH-4031 Basel, Switzerland. Email: aleksandra.wodnar-filipowicz@unibas.ch



Statistical analysis

Expression of NKG2D-L and cytotoxicity by NK cells were analysed using Student's t-test.

Results and Discussion

The AML-LSCs, defined phenotypically as the CD45^{dim}CD34⁺CD38⁻ population and analysed in de novo untreated AML, expressed low/undetectable levels of NKG2D-L, including UL-16 binding proteins (ULBP1-3) and MHC-related MICA/B molecules (Figure 1A). Ligands were also at background levels on N-BM CD34⁺CD38⁻ HSCs (Figure 1B). The paucity of NKG2D-L on AML-LSCs supports earlier findings with leukemic myeloblasts.¹² The absence of NKG2D receptor-dependent interactions is known to accelerate cancer progression,¹⁸ and conversely, tumors which up-reg-

Figure 2 (left). Cytotoxicity of NK cells against AML-LSCs and N-HSCs. (A-D) Single-KIR⁺ NK cells were used as effectors in chromium-release assays. (A) AML-CD34⁺CD38⁻ LSCs and CD34⁺CD38⁻ blasts from patient AML#8 or control K562 cells were exposed to NK cells, matched (mNK, KIR^e NK-1) or mismatched (mmNK, KIR^a NK-1), at the indicated E:T ratios. (B) N-BM CD34⁺ and N-mPB CD34⁺CD38⁻ HSCs from two healthy donors, or control K562 cells were exposed to NK cells, mNK (KIR^e NK-2 for N-BM; KIR^a NK-3 for N-mPB#1) or mmNK (KIR^a NK-2 for N-BM; KIR^b NK-3 for N-mPB), at the indicated E:T ratios. Availability of N-BM samples was limited and this did not allow selection for CD34⁺CD38⁻ cells in numbers sufficient for the cytotoxicity assay. (C) AML-CD34⁺CD38⁻ LSCs from patients AML#3 and AML#6, either non-treated (-VA) or incubated for 2 days with VA (+VA) were exposed to mmNK cells (KIR^e NK-1) at the indicated E:T ratios. (D) AML-CD34⁺CD38⁻ LSCs from patients AML#3 and AML#6 were incubated for 2 days with medium alone (M; o), or with VA (■) and exposed to mmNK cells (as in C) which were blocked by preincubation with control mouse IgG (□) or aNKG2D mAb (▨) for 1 hr at 37°C, at 10:1 E:T ratio. All experiments were performed in triplicates; mean±SEM values are shown. *p<0.05. The NK cell lines are defined in *Online Supplementary Table S1*.

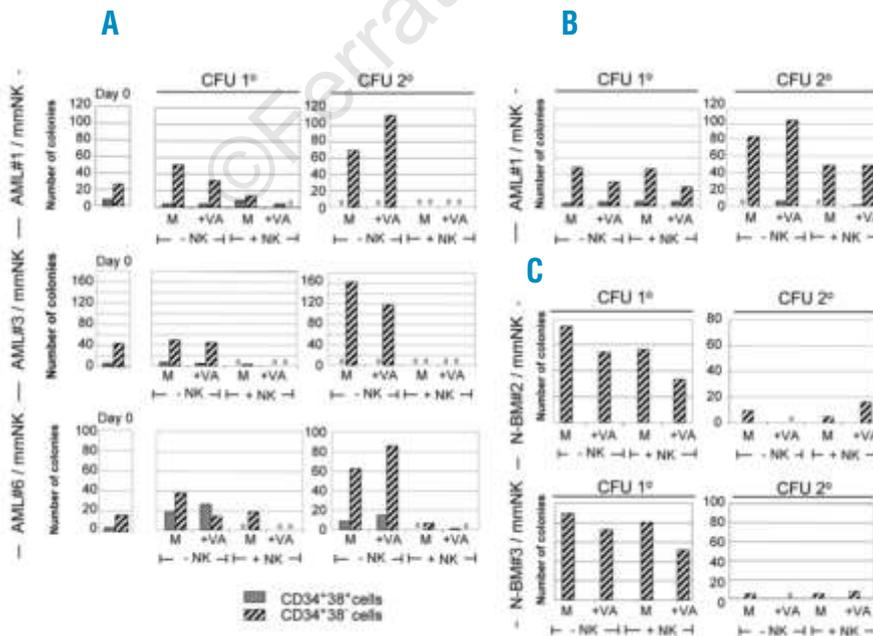


Figure 3. Effect of NK cells on hematopoietic colony formation by AML-LSCs and N-BM HSCs. (A-C) Single KIR⁺ NK cells were used as effectors and their effect on growth of CFU from AML-LSCs and N-BM HSCs was tested in 1% methylcellulose cultures containing erythropoietin (3U/mL), interleukin (IL)-3 and -6, granulocyte- and granulocyte-macrophage-colony stimulating factor (20 ng/mL, each), stem cell factor and flt3 ligand (100 ng/mL, each). AML-CD34⁺CD38⁻ LSCs (1x10⁵) and CD34⁺CD38⁻ blasts (1x10⁵) from 3 patients (AML#1, AML#3 and AML#6), or N-BM CD34⁺CD38⁻ HSCs (1x10⁵) from 2 healthy donors (N-BM#2 and #3) were incubated for 2 days with medium alone (M) or VA (+VA) and plated in methylcellulose directly (-NK) or after exposure to NK cells (+NK), either matched (mNK) or mismatched (mmNK), for 4 hrs at E:T ratio of 5:1. In A, CFU numbers by AML cells prior to incubation (Day 0) are shown. In A-C, numbers of CFU, primary 1° or secondary 2°, are shown. In A, mmNK were KIR^e NK-1. In B, mNK were KIR^a NK-1. In C, mmNK were KIR^a NK-1. The NK cell lines are defined in *Online Supplementary Table S1*. Results are shown as mean of duplicate analyses. Cultures in which no CFU-derived colonies were seen are indicated with "0".

ulate cell surface NKG2D-L in response to cellular stress, DNA damage or pharmacological treatment are rendered susceptible to killing by NK cells.^{19,20} We have recently demonstrated that NKG2D-L levels increased in response to histone deacetylase (HDAC)-inhibitor VA, a drug with antineoplastic activities, and this increase enhanced the cytolysis of AML blasts.¹² Here, we observed a VA-dependent up-regulation of NKG2D-L on CD34⁺CD38⁻ LSCs (Figure 1C), whereas no response to VA was seen with N-BM HSCs (Figure 1D). The VA effect on AML-LSCs was modest, but apparent with ULBP1 and MICA/B, the expression of which increased 2.0±0.8 and 1.9±1.5 fold, respectively ($p < 0.05$; Figure 1E).

To test whether AML-LSCs are susceptible to NK cell-mediated lysis, NK effectors carrying single KIR specificities were selected according to patients' HLA class I allotypes (*Online Supplementary Table S1*). HLA-mismatched, but not HLA-matched, single KIR⁺ NK cells were able to lyse purified AML-CD34⁺CD38⁻ LSCs, with an efficiency comparable to killing of leukemic blasts, phenotypically defined as CD34⁺CD38⁺ (Figure 2A). Matched NK cells effectively lysed control HLA class I-negative K562 cells, confirming the requirement for HLA-KIR mismatch in LSC detection (Figure 2A). There were interindividual differences in susceptibility of purified AML-CD34⁺CD38⁻ cells from individual patients ($n=3$, range 15%-55% at E:T ratio of 10:1) but lysis was always seen using HLA-mismatched and not HLA-matched effectors, in accordance with our earlier studies on unfractionated leukemic blasts.¹² Single KIR⁺ NK cells expressed also the inhibitory receptor NKG2A on 30%-60% of cells, but NKG2A ligand HLA-E on AML targets was low or absent (MFI-R<10), in comparison with high levels of KIR ligands HLA-ABC (MFI-R 100-300). Unlike with AML targets, neither HLA-mismatched nor HLA-matched NK cells lysed purified N-BM CD34⁺ cells or N-mPB CD34⁺CD38⁻ cells (Figure 2B), indicating that normal progenitors are protected, and underlining a specificity of alloreactive single KIR⁺ NK cells towards leukemic targets. Treatment of AML-CD34⁺CD38⁻ LSCs with VA resulted in an increase in susceptibility to alloreactive single KIR⁺ NK cells which was consistently observed at 10:1 to 0.6:1 E:T ratios, but the extend of which varied dependent on AML targets (Figure 2C). This was likely linked to VA-mediated up-regulation of cell-surface NKG2D-L (Figure 1C). The cytolysis of LSCs was indeed partly NKG2D-dependent, as it was specifically reduced in the presence of anti-NKG2D mAbs, the blocking effect of which was particularly pronounced with VA-treated AML cells (Figure 2D). To define the effect of NK cells on the colony-forming properties of LSCs,²¹ serial replating CFU assays were performed and colony numbers generated from purified AML and N-BM subpopulations in response to VA and single-KIR⁺ NK cells were monitored (Figure 3A-C). AML-CD34⁺CD38⁻ LSCs displayed higher clonogenicity than AML-CD34⁺CD38⁺ blasts when plated directly (day 0) or after 2 days incubation with VA in primary (1o) CFU, and gave efficiently rise

to colonies in secondary (2o) CFU assays (Figure 3A) due to aberrant self-renewal. With all 3 tested AML patients' LSCs, exposure to HLA class I-KIR mismatched NK cells strongly reduced the capacity to form 1o and 2o CFU. Preincubation of AML-LSCs with VA potentiated this effect, since 1o CFUs were fully eradicated (Figure 3A). Matched NK cells did not affect 1o and 2o CFU numbers generated from AML-LSCs (Figure 3B), in agreement with absent killing in cytotoxicity assays (Figure 2A). To test whether NK cells can discriminate between normal and leukemic CFUs, the N-BM CD34⁺CD38⁻ HSCs were used; in contrast to AML, HSC-derived colonies do not support serial replatings (Figure 3C). The 1o CFU numbers from N-BM HSCs were unaffected by exposure to single-KIR⁺ mismatched NK cells, and were preserved after VA treatment,²² indicating that allorecognition by single KIR⁺ NK cells is specific towards malignant colony-forming LSCs.

In this first report addressing the susceptibility of AML-LSCs to NK cells, we demonstrate that selection of NK effectors with a predicted KIR-HLA class I mismatch is prerequisite for targeting AML-LSCs. Allorecognition can be augmented by VA which up-regulates NKG2D-L, thus priming the AML for the cytotoxic effectors and underlying the importance of interventions which enhance the NKG2D axis for tumor recognition. VA-induced epigenetic modifications may also promote the entry of LSCs into the cell cycle rendering them more accessible to chemo- and immunotherapies.²³ Importantly, we show that healthy CD34⁺CD38⁻ cells containing the HSCs are not targeted by HLA-mismatched NK cells and do not respond to VA, indicating that normal hematopoietic functions will be spared and arguing for the specificity in eradication of malignant CD34⁺CD38⁻ cells containing the LSCs. Our data reinforce the concept of alloreactive NK cell-based adoptive immunotherapy,²⁴ in combination with antineoplastic drugs to enhance the tumor reactivity, as a rational strategy towards curing leukemia.

Authorship and Disclosures

UL and AW-F were the principal investigators and take primary responsibility for the paper. UL, US, SJ, and SD performed research, analysed data, and edited the paper, AG provided clinical data. AW-F and CPK designed research, analyzed data, wrote and edited the paper.

The authors reported no potential conflicts of interest.

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